

Statement of Economic Interests

Candidate Form - Due with Nomination Papers

Print legibly in black ink or type

Name: _____

City Position sought: _____

(include department, title, board, commission, elective office if applicable)

DEFINITIONS: "Income" means **gross** income before deductions and depreciation, from whatever source derived, as defined by the Internal Revenue Code, but excludes dividends and interest.

"Immediate family member" means your spouse, and any child, step-child, parent, or parent-in-law who receives more than one-half of his or her support from you or from whom you receive more than one-half of your support.

Each section must be completed or form will be sent back. Questions about completing this form? Call (414) 286-8641

PART 1. SOURCES OF INCOME.

List each employer and other sources of income from which you or an immediate family member received income of \$1,000 or more in 2003. You are not required to identify any source of income from which you or an immediate family member received less than \$1,000. You are not required to identify any source of income which is from an individual unless the income you or your family received came from the individual's operation of a business.

☐ Check (✓) if None or Not Applicable

	Name of City Agency, Business Organization, or Employer; or General Nature of Business	City and State
Yourself		
& Immediate Family Members		

(attach additional sheets if necessary)

PART 2. REAL ESTATE.

List addresses of all real estate located in Milwaukee, Ozaukee, Waukesha, Racine or Washington County in which you or an immediate family member held at least a 10% interest valued at \$5,000, on December 31, 2003. Include real estate you or your family owned directly or through: (a) a partnership; (b) a corporation; (c) a trust; or (d) other enterprise. Do NOT list your principal residence unless you used it for the conduct of a business or for rental purposes.

☐ Check (✓) if None or Not Applicable

Street Address	County	Type of Property (farm, apartment, commercial property or recreational home)	Nature of Interest (own, lease, option, land contract)

(attach additional sheets if necessary)

(Candidate 10/03)

PART 3. CREDITORS

List each creditor to whom you or immediate family members, individually or together, owed \$5,000 or more on December 31, 2003. For each creditor listed, place a check in one of the columns at the right to indicate the amount owed. Include business creditors if you or an immediate family member were personally liable for the debt. Include your portion of any partnership debts. Include mortgages on principal place of residence. Do not list credit card debt.

☐ Check (✓) if None or Not Applicable

Creditor	City	State	(✓) one	
			\$50,000 or less	more than \$50,000

(attach additional sheets if necessary)

PART 4. SECURITIES

List securities (as explained below) that you and your immediate family owned on December 31, 2003, whose value was \$5,000 or more on the date of filing.

List

- stocks and stock options
- commodity future contracts
- bonds
- securities issued by the State of Wisconsin or by local government entities within Wisconsin
- any of the above held directly or:
 - in a deferred compensation plan, profit-sharing plan, or pension plan whose investments **you or your family directs**. (The City of Milwaukee deferred compensation plan is not directed by the employee unless you have a Personal Choice Retirement Account.)
 - in an individual retirement account (IRA)
 - in a trust you created or of which you have beneficial use
 - held for you by a corporation, partnership, or other entity which you or your family controls.

Do not list

- savings accounts
- checking accounts
- certificates of deposit
- money market funds
- mutual funds
- annuities
- insurance contracts
- securities issued by the federal government or a government outside Wisconsin
- securities in a company in which you and your family's total interest is valued at the less than \$5,000.

☐ Check (✓) if None or Not Applicable

Name of security	Type of Security (✓) one				(✓) one	
	stock/option/futures	bond	limited partnership	WI governmental security	\$50,000 or less	more than \$50,000

PART 5. OWNERSHIP INTERESTS IN BUSINESS

List each partnership (general, limited or limited liability), corporation (regardless of tax status and including service corporations), proprietorship, limited liability company, firm, franchise, or other business or enterprise in which you or an immediate family member, directly or indirectly, separately or together, owned or controlled at least a 10% interest on December 31, 2003. Businesses may include farms, manufacturing companies, sales and service operations, real estate rental, and professional practices.

☐ Check (✓) if None or Not Applicable

Name of Business or Organization	City and State	Nature of Business (e.g., farming, law, rental property, etc.)

(attach additional sheets if necessary)

PART 6. OFFICES AND DIRECTORSHIPS

List each business or organization of which you or an immediate family member was on December 31, 2003, an officer, director or trustee. You are not required to identify trusts, charitable, religious, social, community service or political organizations.

☐ Check (✓) if None or Not Applicable

Name of Business or Organization	City and State	Position Held

(attach additional sheets if necessary)

PART 7. GIFTS

According to the Code of Ordinances, city employees and officials are not permitted to solicit or accept gifts from any person if it could reasonably be considered as a reward for any official action or inaction on the part of that employee or official. In practice, this means that, although an official or employee cannot receive anything of value in the conduct of his or her responsibilities, it may be that occasionally small token gifts are offered.

Have you accepted such gifts in 2003? Yes ☐ No ☐

A gift report form should be filed at the time of receipt of the gift. If in 2003 you have accepted such gifts and have not yet filed a gift report, a form is enclosed. File a form for each gift accepted. Attach the form(s) to this Statement of Economic Interests. You are not required to file the form if the donor is "the donee's parent, grandparent, child, grandchild, brother, sister, parent-in-law, grandparent-in-law, brother-in-law, sister-in-law, son-in-law, daughter-in-law, uncle, aunt, niece, nephew, spouse, fiancé or fiancée." You are not required to list a wedding gift under \$1,000 value. **You are not required to file a gift report form now if you have already filed a form for that gift.**

(Candidate 10/03)

PART 8. HONORARIA AND PAYMENT OF EXPENSES

List each individual or organization from which you received, in 2003, lodging, transportation, meals, expenses, or honoraria having a total value of more than \$50, for attendance at a conference, presentation of a talk, participation in a meeting, or for a published work about issues initiated by or affecting city government or city agencies. You are not required to list information about lodging, transportation, meals, money or any other thing of pecuniary value: (1) if you returned it within 30 days, or deposited it with the treasurer's office. (2) if you received it from the agency of which your city public office is a part; (3) if you received it as a source listed in Part I, or (4) if you already reported the payment to the Ethics Board as a matter of public record. (5) if your receiving it is unrelated to or did not arise from holding public office or a position with the city.

☐ Check (✓) if None or Not Applicable

Name of Organization or Person	Value of Honorarium, Expenses, etc.	Circumstances Relating to Acceptance of Honorarium, etc.
Honorarium:		
Payment of Expenses:		

(attach additional sheets if necessary)

Before signing, please review your Statement to be sure that for each part you have listed the required information or checked the box for "None or Not Applicable."

I certify that the information contained in this Statement of Economic Interests is true, complete and correct to the best of my knowledge, information and belief.

Sign Here



Signature of person filing

Date

Daytime telephone number

Statements of Economic Interests are open for public inspection.
Failure to file a completed form may result in compensation loss or other penalties.

RETURN WITH ELECTION PACKET TO:

Election Commission
City Hall, Room 501
200 East Wells Street
Milwaukee, WI 53202

Ph: (414) 286-3491

Gift Report - City of Milwaukee Ethics Board

Name _____ Position or Title _____

Address _____ City _____ State _____ Zip _____

Phone Number _____

Name or type of gift

Estimated value of gift

\$ _____

Purpose or reason for gift

Person or organization from whom you received the gift

Date of receipt

I certify that the information contained above is true, correct, and complete to the best of my knowledge, information, and belief.

Signature

Date

Return this form to:

City of Milwaukee Board of Ethics
Office of the City Clerk, Room 205
200 East Wells Street
Milwaukee, WI 53202

Or Fax to:

(414) 286-0723

Gift Reporting

Dear City Officials and Employees Subject to the Milwaukee Code of Ethics, Chapter 303, Milwaukee Code of Ordinances:

According to 303-5-3 of the Code of Ordinances: “No person may offer or give to an official or other City employee, directly or indirectly, and no official or other city employee may solicit or accept from any person, directly or indirectly, anything of value if it could reasonably be considered as a reward for any official action or inaction on the part of the official or other City employee.”

In practice, this means that, although an official or employee cannot receive anything of value in the conducting of his or her business, it may be that occasionally small token gifts are offered. The form on the reverse will allow you to accept these token gifts, and properly declare their receipt. If you have any questions regarding the propriety of your accepting such a gift, please request a confidential advisory opinion from the Ethics Board prior to such an acceptance.

Note that this procedure does not replace the disclosure contained in the annual Statement of Economic Interests, which requires an official or employee to report the name of any person or organization from whom he or she received any gift or gifts having an aggregate value of more than \$50.

If you need additional forms, or if you have questions regarding this form or the reporting procedure, contact the Board at **286-8641**.

Your Milwaukee Ethics Board

Pamela Schmidt, Chair

Kit Halloran, Vice Chair

Martha Toran, Don Thielke, Mary Jo Avery,

D. Michael Guerin, Devon Turner